

## **CHRIS ABBRUZZESE**

### **RAIN CAPITAL MANAGEMENT, LLC**

525 3rd Street, Suite 224  
Lake Oswego, Oregon 97034

503.822.8804  
www.raincapital.com

March 28, 2024

This Brochure Supplement provides information about Chris Abbruzzese that supplements the Rain Capital Management, LLC Firm Brochure (Form ADV Part 2A). You should have received a copy of that Brochure. Please contact Ellen Kim at (503) 822-4411 or [ellen@raincapital.com](mailto:ellen@raincapital.com) if you did not receive copy of Rain Capital Management, LLC's Form ADV Part 2A or if you have any questions about the contents of this Brochure Supplement.

Additional information about Chris Abbruzzese is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**CHRIS ABBRUZZESE**

Year of Birth: 1971

**Item 2 – Educational Background and Business Experience**

EDUCATION

Bachelor of Arts in International Affairs from Lewis and Clark College, 1993 (Portland, OR)

Masters of International Affairs from Columbia University, 1995 (New York, NY)

BUSINESS BACKGROUND

- |                    |   |
|--------------------|---|
| 10/2011 to Present | Chief Investment Officer<br>Rain Capital Management (Portland, OR)                      |
| 01/2009 to 11/2011 | Director – Research and Analytics<br>Arnerich Massena & Associates, Inc. (Portland, OR) |
| 05/2008 to 12/2008 | Executive Director – Global Prime Services<br>JP Morgan (San Francisco, CA)             |
| 07/1995 to 04/2008 | Managing Director, Principal<br>Bear, Stearns & Co., Inc. (San Francisco, CA)           |

**Item 3 – Disciplinary Information**

Chris Abbruzzese has not been subject to any legal or disciplinary proceedings which would be considered material (or otherwise) to a Client's evaluation of his or any of the services Rain Capital Management, LLC provides.

**Item 4 – Other Business Activities**

Chris Abbruzzese is not involved in any other investment related business activity other than through Rain Capital Management, LLC

**Item 5 – Additional Compensation**

Chris Abbruzzese does not receive additional compensation or economic benefit for providing advisory services.

**Item 6 – Supervision**

Chris Abbruzzese is the Chief Financial Officer of Rain Capital and is supervised by David Reichle, Chief Compliance Officer. Chris is subject to Rain Capital's Code of Ethics and Policy and Compliance Manual. Should you have questions or concerns regarding advice Chris has offered, you may contact Mr. Reichle at (503) 776-8803.

# DAVID REICHLE

## RAIN CAPITAL MANAGEMENT, LLC

525 3rd Street  
Suite 224  
Lake Oswego, Oregon 97034

(503) 776-8803  
[www.raincapital.com](http://www.raincapital.com)

March 28, 2024

This Brochure Supplement provides information about David Reichle that supplements the Rain Capital Management, LLC Firm Brochure (Form ADV Part 2A). You should have received a copy of that Brochure. Please contact Ellen Kim at (503) 822-4411 or [ellen@raincapital.com](mailto:ellen@raincapital.com) if you did not receive copy of Rain Capital Management, LLC's Form ADV Part 2A or if you have any questions about the contents of this Brochure Supplement.

Additional information about David Reichle is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**DAVID REICHLE**

Year of Birth: 1961

**Item 2 – Educational Background and Business Experience**

EDUCATION

Bachelor of Arts in Economics from University of Delaware, 1985 (Newark, DE)

BUSINESS BACKGROUND

10/2011 to Present	Managing Director, Chief Portfolio Strategist Rain Capital Management (Portland, OR)
6/2009 to 10/2011	Director of Wealth Management Arnerich Massena & Associates, Inc. (Portland, OR)
7/1997 to 5/2009	President Pacific Investment Advisors, LLC (Portland, OR)

**Item 3 – Disciplinary Information**

David Reichle has not been subject to any legal or disciplinary proceedings which would be considered material (or otherwise) to a Client's evaluation of his or any of the services Rain Capital Management, LLC provides.

**Item 4 – Other Business Activities**

David Reichle is a non-compensated Advisory Board member of Ecoworks Foundation, Inc., a philanthropic organization focused on investing in education and research that is supportive of sustainable development.

**Item 5 – Additional Compensation**

David Reichle does not receive additional compensation or economic benefit for providing advisory services.

**Item 6 – Supervision**

David Reichle is a Member of Rain Capital Management and is not supervised. However, David is subject to Rain Capital Management's Code of Ethics and Compliance Manual. Should you have questions or concerns regarding advice David has offered, you may contact Ellen Kim, Chief Operating Officer, at (503) 822-4411..

## ELLEN KIM

### RAIN CAPITAL MANAGEMENT, LLC

525 3rd Street, Suite 224  
Lake Oswego, Oregon 97034

503.822.4411  
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March 28, 2024

This Brochure Supplement provides information about Ellen Kim that supplements the Rain Capital Management, LLC Firm Brochure (Form ADV Part 2A). You should have received a copy of that Brochure. Please contact Ellen Kim at (503) 822-4411 or [ellen@raincapital.com](mailto:ellen@raincapital.com) if you did not receive copy of Rain Capital Management, LLC's Form ADV Part 2A or if you have any questions about the contents of this Brochure Supplement.

Additional information about Ellen Kim is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**ELLEN KIM**

Year of Birth: 1972

**Item 2 – Educational Background and Business Experience**

EDUCATION

Bachelor of Science in Finance and HR Management from Portland State University, 1995 (Portland, OR)

Master of Business Administration from University of Portland, 1996 (Portland, OR)

BUSINESS BACKGROUND

- |                    |   |
|--------------------|---|
| 10/2011 to Present | Chief Operating Officer<br>Rain Capital Management (Portland, OR)                                   |
| 06/2009 to 10/2011 | Wealth Management Associate, Practice Liaison<br>Arnerich Massena & Associates, Inc. (Portland, OR) |
| 06/2006 to 03/2009 | Investment Analyst<br>Greycourt & Co., Inc. (Portland, OR)  |
| 04/2001 to 06/2006 | Senior Analyst, Financial Planning<br>Pacific Investment Advisors, LLC (Portland, OR)               |
| 06/2000 to 04/2001 | Financial Advisor<br>Dean Witter Reynolds, Inc. (Portland, OR)                                      |

**Item 3 – Disciplinary Information**

Ellen Kim has not been subject to any legal or disciplinary proceedings which would be considered material (or otherwise) to a Client's evaluation of her or any of the services Rain Capital Management, LLC provides.

**Item 4 – Other Business Activities**

Ellen Kim is not involved in any other investment related business activity other than through Rain Capital Management, LLC

**Item 5 – Additional Compensation**

Ellen Kim does not receive additional compensation or economic benefit for providing advisory services.

**Item 6 – Supervision**

Ellen Kim is the Chief Operating Officer and a Member of Rain Capital. Ms. Kim does not perform direct Client investment advice at this time and is therefore not supervised for investment purposes. However, Ellen is still subject to Rain Capital's Code of Ethics and Policy and Compliance Manual. Should there be any questions, you may contact David Reichle, Chief Compliance Officer, at (503) 776-8803.